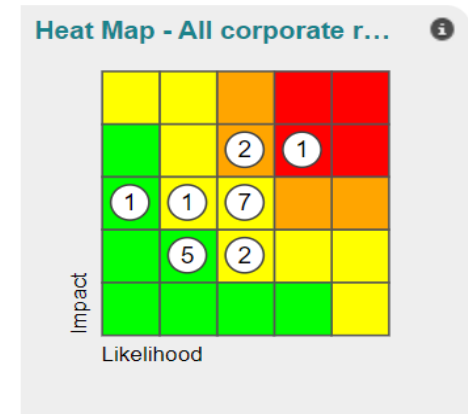


Appendix A



# Risk register of corporate risks Quarter 3 2023/24



## Summary





Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 01 Reaching the councils Net Zero emissions target by 2030	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Major projects - potential solar farm project								
monitoring new contracts for environmental improvements								
HIC - solar panel project in 2024/25 Market hall works in 2024 leisure centre new contract looking at ways of address energy useage such as the replacement of the covered pitches.								



Ongoing work with the major projects team on solar farm  
 Work in Environmental services about making the fleet more environmentally friendly.



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 02 Lack of a co-ordinated approach to Emergency Planning could result in a failure to effectively respond to situations.	Major	possible	12	Moderate	rare	3		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
<ul style="list-style-type: none"> <li>• More CMT officers have been added to the OOH team</li> </ul>								
March 2023 - Took part in operation Oak for power cuts due to current economic climate - Feedback given to SLT and lesson learnt log completed								
Part o the Local resilience forum - a partnership of shared resources across Leicestershire,								
District Emergency plan regularly reviewed and updated								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 03 Loss of ability to provide a comprehensive service due to cyber security attacks.	Extreme	Almost certain	1	Major	possible	12		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Ongoing programme of staff training with Numata								
Some part of the IT emergency plan recently tested in emergency planning operations								
Regular checks with firewall security. Regular checks with test emails to customers								
ITC policy in place and BC plan								
New Cyber security systems and training are able to monitor staff activities in retraining - the organisation has a goal for all staff to remain in green area which requires ongoing training. Any members of staff not performing tasks can be identified for remedial work.								
Staff training and knowledge alongside of the ITC infrastructure								

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 04 The Council does not meet its budgeted-for Planning fee income targets, particularly in regard to major applications which could have a negative impact on the Council's financial position.	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								



Forecasting and monitoring of planning fee income is completed within the planning team - this tracks all potential build sites for expected income.



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 05 The Council fails to meet its information governance and records management obligations under various enactments such as the Data Protection Act 2018 and UK GDPR	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Staff training to be rolled out from April 2024 to be completed by the end of May 2024. (Annual)								
To be completed as part of staff annual programme. Member training to be rolled out from April 2024 to be completed by the end of June 2024.								
Having in place a clear and robust policy for - - document retention (full review in 2024) - Governance - Complaints procedure (Partial review conducted Jan 24)								
Having a good or better (rated) control environment in place for IG related risks.								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 06 The Council fails to put in place an up to date, sound and adoptable new Local Plan, the updated strategic planning framework for the district	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
This project is currently sitting under the management of the project team - there are robust plan for managing the timescales of the project. Additional resources have been added to the planning team to support the work of delivering a new plan.								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 07 Reduction in public sector funding by all partners leads to service gaps and reduced public sector offer to residents.	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Development of the MTFS ongoing budget setting and frequent monitoring								
Find a strategic business partner to look at shared business options.								
Working within teams to seek efficiencies								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
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CR 08 Business Continuity: loss of building(s) / service(s) through unforeseen events.	Major	Unlikely	8	Minor	Unlikely	4		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
all staff aware of the business continuity plan and emergency planning process.								
Work with the resilience partnership to develop staff training of the resilience plan								

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 09 The Council does not have sufficient funding to deliver its current services in the medium term	Major	Unlikely	8	Minor	Unlikely	4		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
A robust MTFS in in place and a recently adopted budget was taken to cabinet								

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 11 Risk of Loss of Planning decision-making powers on major applications to the Planning Inspectorate due to Special Measures designation as a result of triggering the Appeal threshold for Government Intervention	Major	possible	12	Moderate	Unlikely	6		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Additional staff have now been recruited to the team.								
DM 01 and DM 02 are regularly monitored by CMT								

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 12 Cost of planning appeals and legal challenges significantly weaken the Council's financial position	Major	Unlikely	8	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 13 Increase in homeless presentations results in an increased demand in Council support for reactive temporary accommodation that exceeds the current budget and weakens the Council's financial position	Major	likely	16	Major	likely	16		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								



regularly monitor costs associated with homelessness presentations and temporary accommodation (see KPI's)



continue to seek opportunities with housing partners to increase the number of affordable homes and suitable accommodation offers.



- HDC are reviewing their assets to find self build solutions to the growing demand on temporary accommodation

Regular monitoring of the numbers of homeliness presentations (see KPI's)

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 14 Risk of Environmental Services contractor defaulting leads to an inability to fulfil the statutory obligation to collect waste.	Major	Unlikely	8	Minor	Unlikely	4		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Regular meetings take place with FCC - this is monitored in Pentana - the relationship is well established and there is some confidence in not occurring.								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 15 Risk of leisure contractor defaulting leads to an inability to deliver leisure services.	Major	Unlikely	8	Minor	Unlikely	4		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								



Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 16 Service transformation propositions are not delivered resulting in underachievement of identified savings	Moderate	Unlikely	6	Minor	possible	6		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Budget setting meetings have taken place with the director of finance,. Identified savings and growth are being identified as part of this process.								


Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 19 Loss of income, as economic activity reduces, weakens the Council's cashflow and financial sustainability.	Major	possible	12	Moderate	possible	9		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Frequent and regular monitoring of budgets and reporting to cabinet and council of any income issues.								
Take advantage of any external funding pots and have feasibility studies and projects on a shelf awaiting external funding opportunities.								

performance monitor any income targets within the council (see Linked KPI's)

Monitor external factors that impact on the councils income such as costs of Living, use of the town centres, planning applications and conditions for growth.

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 21 Government changes in planning regulations may result in the Council not having a Local plan in place (2025-2027) to resist speculative housing developments.	Major	possible	12	Major	possible	12		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								

Risk	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 22 Unforeseen, unfunded financial burdens as a result of responding to the ongoing situation weaken the Council's financial stability.	Moderate	possible	9	Minor	Unlikely	4		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Monitoring and MTFS ensures the council remains in a good financial position to endure any unforeseen extra costs.								

Risk ('CR' or 'CV') / Opportunity ('COR OP')	Impact (I)	Likelihood (I)	Score (I)	Impact (R)	Likelihood (R)	Score (R)	Status	D.O.T.
CR 23 Retention and recruitment of staff	Moderate	possible	9	Minor	possible	6		
<b>Mitigations (Risks) / Actions (Opportunities)</b>								
Utilising specialist recruitment services. Looking for alternative ways to promote the roles.								