

# Harborough District Council

## Report 3 to the Cabinet Meeting of 4 July 2022



<b>Title:</b>	Corporate Risk and Opportunity Management: Quarter 4, 2021/22
<b>Status:</b>	Public
<b>Key Decision:</b>	N/A
<b>Report Author:</b>	L. Elliott, Interim Chief Executive
<b>Portfolio Holder:</b>	Councillor Paul Dann, Corporate & Regulatory Services
<b>Appendices:</b>	Appendix A Corporate Risk and Opportunity Register Appendix B Risk and Opportunity Movement Appendix C Risk and Opportunity Residual Scoring Distribution Appendix D Risk and Opportunity Scoring Criteria

### Executive Summary

At the end of Quarter 4 of the 2021/22 year there were 19 Corporate Risks and 7 Corporate Opportunities on the Corporate Risk and Opportunity Register. Full details of the Risks and Opportunities, including scores and mitigating actions, are attached at Appendix A.

### Recommendation

That the items contained within the Council's Corporate Risk and Opportunity Register (attached at Appendix A to this report) be noted.

### Reasons for Recommendation

The Council's Risk and Opportunity Management Framework provides that the Council's Risks and Opportunities will be reported on a regular basis.

## 1. Purpose of Report







To provide the Cabinet with information on the Council's Corporate Risks and Opportunities at the end of Quarter 4 of the 2021/22 year.

## 2. Background

- 2.1 The Corporate Risk and Opportunity Register (attached at Appendix A to this report) sets out the Council's Corporate Risks and Opportunities. Corporate Risks and Opportunities are defined as issues that may have a significant impact on the delivery of the Council's vision and priorities. They are identified, monitored and managed via the Council's Risk and Opportunity Strategy Board which meets on a quarterly basis. The Board last met on 5 April 2022.



- 2.2 The Board amends the Register to reflect progress on actions which have been identified to:
- (i) reduce the likelihood of a risk occurring, the impact the risk would have if it were to occur, or both.
  - (ii) monitor opportunities.
  - (iii) add and/or remove risks and opportunities.
- 2.3 At the end of Quarter 4 of the 2021/22 year there were 19 Corporate Risks and 7 Corporate Opportunities on the Corporate Risk and Opportunity Register. Full details of the Risks and Opportunities, including scores and mitigating actions, are attached at Appendix A.
- 2.4 The Council's Risk and Opportunity Management Framework uses a matrix which scores both impact and likelihood out of 4 (see Appendix D).
- 2.5 The Council's Risk and Opportunity Management Framework categorises Risks and Opportunities in the following way:

<b>Score</b>	<b>Risk Status</b>	<b>Opportunity Status</b>
9 or above	 (Red)	 (Green)
5-8	 (Amber)	 (Amber)
1-4	 (Green)	 (Red)

**Table 1 Risk and Opportunity Score and Statuses**

### 3. Details

- 3.1 A summary of the status of the Council's Corporate Risks and Opportunities at the end of Quarter 4 can be seen at Figure 1 below:

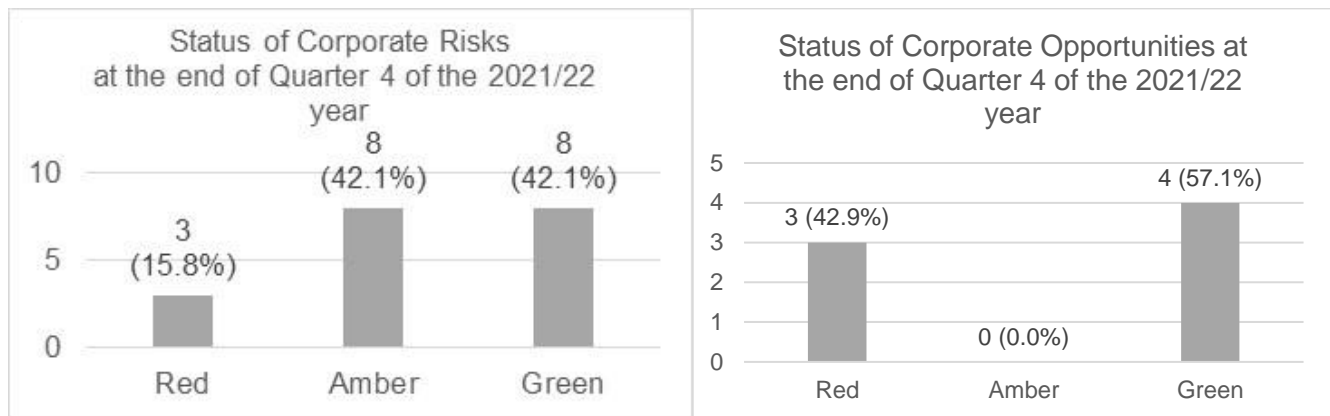


Figure 1 Summary of Risk and Opportunity Statuses

### 3.2 New Risks and Opportunities

3.2.1 One new Corporate Risk was added to the Corporate Risk and Opportunity Register during Quarter 4 of the 2021/22 year:

**CR 41 Disruption/ uncertainty arising from the war in Ukraine**

3.2.2 No new Corporate Opportunities were added to the Corporate Risk and Opportunity Register during Quarter 4 of the 2021/22 year.

### 3.3 Risks and Opportunities Removed

3.3.1 Five Corporate Risks were removed from the Corporate Risk and Opportunity Register during Quarter 4 of the 2021/22 year (shown below). These Risks were related to the threat to the Council posed by the Covid-19 pandemic. The Risks were removed from the Corporate Risk and Opportunity Register since there was no longer sufficient evidence to suggest that they remained a threat:

**CV 06 Reduced staff morale, as a result of depleted numbers of workers and uncertainty about the future, leads to mistakes and loss of productivity.**

**CV 09 The ongoing situation leads to multiple, concurrent Emergency Planning situations which the Council does not have the capacity to deal with**

**CV 11 Illness, self-isolation, and other factors lead to loss of capacity to operate services.**

**CV 12 Illness, self-isolation, and other factors lead to reduced capacity to operate the Council's Lifeline Service which endangers customers.**

**CV 13 Illness, self-isolation, and other factors lead to a reduced capacity to deal with homelessness which endangers vulnerable people.**

3.3.2 No Corporate Opportunities were removed from the Corporate Risk and Opportunity Register during Quarter 4 of the 2021/22 year.

### **3.4 Managing Operational Risks & Project Risks**

- 3.4.1 In addition to the Corporate Risks and Opportunities attached at Appendix A, the Council monitors and manages Operational Risks and Opportunities identified through the Corporate Delivery Plan and Team Plans.
- 3.4.2 Project Risks and Opportunities that are identified through corporate projects are monitored by an Officer-led Programme Board.
- 3.4.3 Operational Risks and Opportunities are updated on a quarterly basis alongside quarterly performance updates. Project Risks are updated on either a monthly or quarterly basis as part of their associated highlight reports.
- 3.4.4 Operational Risks are managed via an internal Performance Improvement Board on an exception basis i.e. Red Risks (those with a residual score of 9 or more) and those with a negative direction of travel.
- 3.4.5 Corporate Risks, Operational Risks and Project Risks are all included in the Risk and Opportunity Register which is held on the Council's Performance Management database.

## **4. Implications of Decisions**

### **4.1. Corporate Priorities**

The contents of this report are evidence of how the Council monitors issues that may affect the delivery of its Corporate Priorities.

### **4.2. Financial**

No financial issues arise directly from this report.

### **4.3. Legal**

No legal issues arise directly from this report.

### **4.4. Policy**

No policy issues arise directly from this report.

### **4.5. Environmental Implications including contributions to achieving a net zero carbon Council by 2030**

No environmental issues arise directly from this report.

### **4.6. Risk Management**

The Corporate Risk and Opportunity Register is attached at Appendix A to this report.

### **4.7. Equalities Impact**

No equalities issues arise directly from this report.

### **4.8. Data Protection**

No data protection issues arise directly from this report.

## **5. Summary of Consultation and Outcome**

At its meeting on 28 June 2022 the Audit and Standards Committee considered the contents of this report.

## **6. Alternative Options Considered**

Not applicable.

## **7. Background papers**

Risk and Opportunity Management Framework